

**David A. Guerra**

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**FACSIMILE COVER SHEET**

TO:	Commissioner for Patents	FROM:	David A. Guerra
GROUP ART UNIT:	1723	DATE:	07/18/2005
FAX NUMBER:	571-273-8300	TOTAL NO. OF PAGES INCLUDING COVER:	11
SERIAL NUMBER:	10/509,532	APPLICANT:	Martin Ambruster
TITLE:	SEDIMENTATION BASIN	FILING DATE:	05/16/2005
RE:	Request for Corrected Filing Receipt	AGENT DOCKET NUMBER:	AP035-04

☐ URGENT

☒ FOR REVIEW

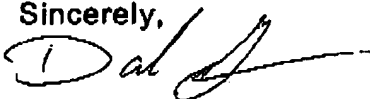
☐ PLEASE COMMENT

☐ PLEASE REPLY

Honorable Sir:

In response to the Filing Receipt dated 06/10/2005, the following Request for a Corrected Filing Receipt is respectfully submitted in connection with the above identified application.

Sincerely,



David Guerra

Reg. Patent Agent, 46,443

dguerra@washpat.com

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OIP/EPAP  
JUL 19 2005

**DAVID A. GUERRA**

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PTO/SB/97 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031

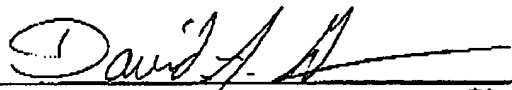
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on 07/18/2005  
Date



Signature

David A. Guerra

Typed or printed name of person signing Certificate

46,443

727-345-1450

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

☒ Request for corrected filing receipt

☒ Transmittal

☒ Copy of Filing Receipt

☐

☒ Copy of Notice of Acceptance

☐

☒ Notification of missing requirement


This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/509,532	
	Filing Date	05/16/2005	
	First Named Inventor	Martin Ambruster	
	Art Unit	1723	
	Examiner Name		
Total Number of Pages in This Submission	8	Attorney Docket Number	AP035-04

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) Request corrected filing receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2) copy of filer's receipt
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3) copy of notification of missing requirements
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm Name	
Signature	
Printed name	David A. Guerra
Date	07/18/2005
Reg. No.	46,443

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	
Typed or printed name	David A. Guerra
Date	07/18/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/509,532

Inventor(s): Martin Armbruster

Filed: 05/16/2005

For: SEDIMENTATION BASIN

Group Art Unit: 1723

Examiner: UNKNOWN

Docket No.: AP035-04

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**REQUEST FOR CORRECTED FILING RECEIPT**

**July 18, 2005**

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Honorable Sir:

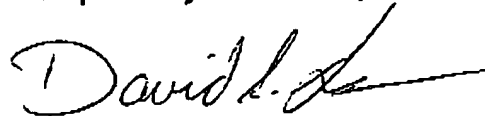
The Applicant respectfully requests a corrected filing receipt for the above-mentioned application. The Applicant has completely satisfied all the requirements from the notice of missing requirements within the time specified in the notice. Therefore a corrected filing receipt having a filing or 371(C) date of 09/23/2004 is earnestly solicited.

Enclosed with this request letter is a copy of the filing receipt, notice of acceptance of application under 35 U.S.C. 371 and 37 CFR 1.495, and notice of missing requirements under 35 U.S.C. 371 in the United States designated/elected office (DO/EO/US). Should the Examiner feel that there are

further issues which might be resolved by means of telephone interview, he/she is cordially invited to telephone the undersigned at 727-345-1450.

Thank you.

Respectfully Submitted,



David A. Guerra, Reg. 46,443

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

On (Date) 07/18/2005 by David A. Guerra 

JUL 18 2005



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (b) DATE	ART UNIT	FL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/509,532	05/18/2005	1723	670	AP035-04	7	20	2

CONFIRMATION NO. 2784

29689  
DAVID A. GUERRA  
933 OLANDER WAY SOUTH, SUITE 3  
SOUTH PASADENA, FL 33707

FILING RECEIPT



\*0000000016225778\*

Date Mailed: 06/10/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Martin Armbruster, Karlsruhe, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 29689.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/02839 03/19/2003

Foreign Applications

GERMANY 102-14-893.1-04/04/2002  
EUROPEAN PATENT OFFICE (EPO) 02022051.3 10/02/2002

Projected Publication Date: 09/15/2005

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Sedimentation basin

Preliminary Class  
210

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

JUL 18 2005

Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1480  
Alexandria, Virginia 22304-1480  
www.uspto.gov

U.S. APPLICATION NUMBER NO 10/509,532	FIRST NAMED APPLICANT Martin Armbruster	ATTY DOCKET NO AP035-04
INTERNATIONAL APPLICATION NO PCT/EP03/02839		
LA. FILING DATE 03/19/2003	PRIORITY DATE 04/14/2002	

29889  
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SOUTH PASADENA, FL 33707

CONFIRMATION NO. 2784

371 ACCEPTANCE LETTER



\*0C000000016225780\*

Date Mailed: 06/10/2005

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>05/16/2005</u>	<u>05/16/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 09/23/2004
- English Translation of the IA filed on 09/23/2004
- Copy of the International Search Report filed on 09/23/2004
- Preliminary Amendments filed on 05/16/2005
- Information Disclosure Statements filed on 09/23/2004
- Oath or Declaration filed on 05/16/2005
- Small Entity Statement filed on 09/23/2004
- U.S. Basic National Fees filed on 09/23/2004
- Priority Documents filed on 09/23/2004
- Power of Attorney filed on 09/23/2004



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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

---

BARBARA A CAMPBELL  
Telephone: (703) 308-9140 EXT 217

**PART 1 - ATTORNEY/APPLICANT COPY**

FORM PCT/DO/EO/903 (371 Acceptance Notice)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22311-4450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/509,532	FIRST NAMED APPLICANT Martin Armbruster	ATTY DOCKET NO. AP035-04
INTERNATIONAL APPLICATION NO. PCT/EP03/02839		
IA FILING DATE 03/19/2003		PRIORITY DATE 04/04/2002

29689  
DAVID A. GUERRA  
933 OLANDER WAY SOUTH, SUITE 3  
SOUTH PASADENA, FL 33707

CONFIRMATION NO. 2784  
371 FORMALITIES LETTER  
\*OC000000015601497\*

Date Mailed: 03/30/2005

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/23/2004
- English Translation of the IA filed on 09/23/2004
- Copy of the International Search Report filed on 09/23/2004
- Information Disclosure Statements filed on 09/23/2004
- Oath or Declaration filed on 09/23/2004
- Small Entity Statement filed on 09/23/2004
- U.S. Basic National Fees filed on 09/23/2004
- Priority Documents filed on 09/23/2004
- Power of Attorney filed on 09/23/2004

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$1250 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - does not identify the application to which it is directed.

- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$1315 for a Small Entity:

- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$ 1250
  - \$1250 for 50 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/509,532	PCT/EP03/02839	AP035-04

FORM PCT/DO/EO/905 (371 Formalities Notice)